

RECEIVED  
CENTRAL FAX CENTER

JAN 04 2006

haynesboone

Haynes and Boone, LLP  
Attorneys and CounselorsOne Houston Center  
1221 McKinney Street, Suite 2100  
Houston, Texas 77010-2007  
Phone: (713) 547-2000  
Fax: (713) 547-2600  
www.haynesboone.com

Date: January 4, 2006

Total Pages Including Cover: 9

---

To:	Company:	U.S. Patent and Trademark Office
Fax: 571-273-8300	Telephone:	
Client/Matter:	36689.42	

---

From:	Mark D. Moore, Ph.D.	ID No.:	81116
Direct Telephone:	713-547-2040		
Direct Fax:	713-236-5562		
Email Address:	mark.moore@haynesboone.com		

Should you have any problem with this transmission, please call: 713-547-2047

---

Message:

Attached please find the following with regard to U.S. Application Serial No. 10/512,008:

\* Status Inquiry

Confidentiality Note: The information contained in this facsimile message is privileged and confidential and is intended only for the use of the addressee. The term "privileged and confidential" includes, without limitation, attorney-client privileged communications, attorney work product, trade secrets, and any other proprietary information. Nothing in this facsimile is intended by the attorney or the client to constitute a waiver of the confidentiality of this message. If the reader of this message is not the intended recipient, or employee/agent of the intended recipient, you are hereby notified that any duplication, or distribution of this communication is unauthorized. If you have received this message in error, please notify us by telephone immediately so that we can arrange for the return of the original documents to us at no cost to you.

BEST AVAILABLE COPY

RECEIVED  
CENTRAL FAX CENTER

Patent / Docket No. 36689.42  
Customer No. 000027683

**JAN 04 2006**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*In re: application of:*  
*Mark A. Atkinson et al.*

Serial No. 10/512,008

Filed: October 19, 2004

**For: rAAV VECTOR-BASED  
COMPOSITIONS AND METHODS  
FOR THE PREVENTION AND  
TREATMENT OF MAMMALIAN  
DISEASES**

www.pearsoned.com

Group Art Unit: Unknown

Examiner: Unknown

## STATUS INQUIRY

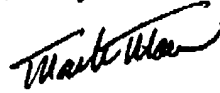
**Mail Stop Patent Application  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**Sir:**

Applicant hereby requests to be informed of the status of the above-identified patent application. On June 27, 2005 Applicant filed a Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address, a copy of which is attached for reference. Also attached please find a copy of the postcard showing receipt by the PCT/PTO on June 29, 2005. On November 22, 2005 Applicant re-filed a Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address, a copy of which is attached for reference. Also attached please find a copy of the postcard showing receipt by the PCT/PTO on November 25, 2005. As of this date, no Acceptance of Power of Attorney has been received, nor is Applicant able to access this application in the USPTO Patent Application Information Retrieval secure access site under Applicant's Customer No. 27683. Please update your records accordingly and provide Applicant with confirmation of the same.

Patent / Docket No. 36689.42  
Customer No. 000027683

Respectfully submitted,



Mark D. Moore, Ph.D.  
Registration No. 42,903

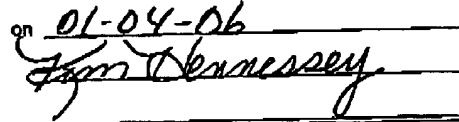
Date: 1/4/06

HAYNES AND BOONE, LLP  
901 Main Street, Suite 3100  
Dallas, Texas 75202-3789  
Telephone: 713-547-2040  
Facsimile: 214-200-0853  
File: 36689.42

H-587865

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile  
transmitted to the United States Patent and Trademark  
Office on the date shown below:  
Facsimile No.: 571-273-8300

on 01-04-06  


PTO/SB/82 (09-04)

Approved for use through 1/30/2005, OMB 0651-0035

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/512008
Filing Date	April 21, 2003
First Named Inventor	Mark Atkinson
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	WMA 4300.014500

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

000027683

☒ PLEASE CHANGE ATTORNEY DOCKET NO. TO: 36689.42☒ Please change the correspondence address for the above-identified application to:☒ The address associated with  
Customer Number:

000027683

OR

<input checked="" type="checkbox"/> Firm or Individual Name	Haynes and Boone, LLP				
Address	901 Main Street, Suite 3100				
City	Dallas	State	Texas	Zip	75202-3789
Country	USA				
Telephone	713-547-2040	Fax	214-200-0853		

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/86)

SIGNATURE of Applicant or Assignee of Record

Signature

Name

David L. Day, Director, University of Florida

Date

Telephone

352-392-8929

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

H-545002

PAGE 4/9 \* RCVD AT 1/4/2006 3:23:32 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/28 \* DNIS:2738300 \* CSID:713 547 2605 \* DURATION (mm-ss):02:44

BEST AVAILABLE COPY

<b>DESCRIPTION OF PAPER</b>		Enclosed are: A Re: <u>Callon of Power of attorney with New Power of Attorney and Change of</u>	
Correspondence Address; a transmittal sheet; and this return postcard received by the PTO on the date stamped below.			
<b>RECEIVED</b>			
JUL 06 2005		JCO6 Rec'd PCT/PTO 29 JUN 2005	
HAYNES & BOONE LLP			
APPLICANT	Mark A. Atkinson, et al.	DATE FILED	October 19, 2004
SERIAL NO.	10/512,008		
ATTORNEY DOCKET NO.	36689.42		
TITLE	TAAV VECTOR-BASED COMPOSITIONS AND METHODS FOR THE PREVENTION AND		
TREATMENT OF MAMMALIAN DISEASES			
SENDER'S INITIALS	MDM/mb	DATE MAILED	6/27/05

<b>DESCRIPTION OF PAPER</b>		Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address;	
Statement Under 37 CFR 3.73 and Return Postcard		IAP10 REC'D PCT/PTO 25 NOV 2005	
<b>RECEIVED</b>		O I P E NOV 25 2005 PATENT & TRADEMARK OFFICE	
DEC 05 2005		HAYNES & BOONE L L C	
<b>APPLICANT</b>	Atkinson et al.	<b>DATE FILED</b>	04/21/2003
<b>SERIAL NO.</b>	10/512,008		
<b>ATTORNEY DOCKET NO.</b>	36689.42		
<b>TITLE</b>	IAAV VECTOR-BASED COMPOSITION AND METHODS FOR THE PREVENTION AND TREATMENT OF MAMMALIAN DISEASES		
<b>SENDER'S INITIALS</b>	MDM/br	<b>DATE MAILED</b>	5/12/05



PTO/SB/82 (09-04)

Approved for use through 11/30/2006. OMB 0651-0035

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/512,008
Filing Date	April 21, 2003
First Named Inventor	Atkinson et al.
Art Unit	To be Assigned
Examiner Name	To be Assigned
Attorney Docket Number	4300.014500

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

000027683

☒ PLEASE CHANGE ATTORNEY DOCKET NO. TO: 36689.42☒ Please change the correspondence address for the above-identified application to:☒ The address associated with  
Customer Number:

000027683

OR

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

713-547-2040

Fax

214-200-0853

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature

Name

David L. Day, Director, University of Florida Research Foundation, Inc.

Date

Telephone

352-392-8929

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of one forms are submitted.

This collection of information is required by 37 CFR 1.38. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

H-578927.1



PTO/SB/96 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Atkinson et al.Application No./Patent No.: 10/512,008 Filed/Issue Date: April 21, 2003Entitled: rAAV Vector-Based Compositions and Methods for the Prevention and Treatment of Mammalian DiseasesUniversity of Florida Research Foundation, Inc. a University  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 016381, Frame 0200, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Mark D. Moore

Signature

22 Nov 2005

Date

Mark D. Moore, Ph. D.

Printed or Typed Name

713-547-2040

Telephone Number

Agent for Applicants

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

H578924.1

PAGE 8/9 \* RCVD AT 1/4/2006 3:23:32 PM [Eastern Standard Time] \* SVR:USPTO-EFXXR-6/28 \* DNIS:2738300 \* CSID:713 547 2605 \* DURATION (mm-ss):02:44

BEST AVAILABLE COPY